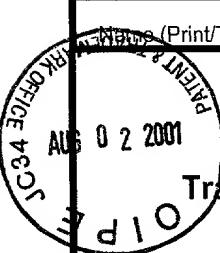


SECTOR
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.



Combined Transmittal and Fee Calculation Sheet

Small Entity

Large Entity

| | | | | | |
|-------------------|---------------------|-----------|--------------------------------|----------------------|--------------------|
| Name (Print/Type) | Steven F. Goldstein | Signature | <i>[Handwritten Signature]</i> | Date | 07-31-2001 |
| | | | | Application Number | 09/803,317 |
| | | | | Confirmation Number | 7596 |
| | | | | Filing Date | March 9, 2001 |
| | | | | First Named Inventor | Ruoying Tan et al. |
| | | | | Examiner | Unassigned |
| | | | | Group Art | 1645 |
| | | | | Attorney Docket No. | 6514-090US1 |

| ENCLOSED: | Claims | No. of claims as filed or after amendment | Most claims previously paid for | # Extra Claims | Rate | Totals |
|--|------------------------|---|---------------------------------|----------------|------|--------|
| <input type="checkbox"/> Amendment Under Rule 37 CFR § | Total | | | | \$ | - |
| <input type="checkbox"/> Pages | Independent | | | | \$ | - |
| | Multiple | | | | | |
| | Total Extra Claim Fees | | | | \$ | - |

| | |
|---|-----|
| <input type="checkbox"/> Applicants Petition for an Extension of time from _____ to _____ | Fee |
|---|-----|

| | | | |
|--|---|---------------|-----------|
| <input checked="" type="checkbox"/> Response to File Missing Parts (with copy of formalities letter) | | | |
| <input type="checkbox"/> Filing Fee | | Fee | |
| <input checked="" type="checkbox"/> Executed Declaration | Pages <u>5</u> | Surcharge Fee | \$ 130.00 |
| <input checked="" type="checkbox"/> Other | Submission of Formal Drawings (1 page) 2 Formal Drawings, Figures 1 &2 (2 pages) | Fee | |
| | | Subtotal | \$ 130.00 |

| | | | |
|---|-------------|----------|------|
| <input type="checkbox"/> Information Disclosure Statement | | | |
| <input type="checkbox"/> PTO Form 1449 | Pages _____ | | |
| <input type="checkbox"/> Copies of Cited References | | | |
| <input type="checkbox"/> Other | Fee | | |
| | | Fee | |
| | | Subtotal | \$ - |

| | | | |
|---|-------------|--|--|
| <input type="checkbox"/> Response to Notice to Comply (with copy of Notice to Comply) | | | |
| <input type="checkbox"/> Sequence Listing Certification | | | |
| <input type="checkbox"/> Paper Copy of Sequence Listing | Pages _____ | | |
| <input type="checkbox"/> Diskette in computer-readable format | | | |
| <input type="checkbox"/> Other | Fee | | |

| | | |
|---|----------------------------|---------------|
| <input type="checkbox"/> Terminal Disclaimer | | Fee |
| <input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group | | |
| <input type="checkbox"/> Notice of Appeal | Pages _____ | Fee _____ |
| <input type="checkbox"/> Appeal Brief in Triplicate | Pages _____ | Fee _____ |
| <input type="checkbox"/> Reply Brief | Pages _____ | Fee \$ - |
| | | Subtotal \$ - |
| <input type="checkbox"/> Other Enclosures and/or Fees | Fee _____ | |
| <input type="checkbox"/> Change of Correspondence Address | | |
| <input checked="" type="checkbox"/> Return Receipt Postcard | TOTAL FEES \$130.00 | |
| <p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p> | | |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED | | | |
|---|---|------------------|---------------------------------|
| Name (Print/Type) | Carol L. Francis <i>Carol L. Francis</i> | Registration No. | 36,513 |
| Signature | Date | | 07-31-2001 |
| Firm Name | Bozicevic, Field & Francis LLP | Address | 200 Middlefield Road, Suite 200 |
| City | Menlo Park | State | California |
| Telephone - Direct Dial | 650-833-7713 | Facsimile | 650-327-3231 |

Application No. 09/803,317 Attorney Docket No. 6514-090US1 Page 2 of 2



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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/803,317 | 03/09/2001 | Ruoying Tan | 06514-090US1 |

CONFIRMATION NO. 7596

FORMALITIES LETTER



000000006133867

Karl Bozicevic
BOZICEVIC, FIELD & FRANCIS LLP
Suite 200
200 Middlefield Road
Menlo Park, CA 94025

Date Mailed: 05/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

S/H

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE